

09-04-01

Box seg 1A

EXPRESS MAIL CERTIFICATE

Date 8/31/01 Label No. 903055776US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Name (Print)

Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No: 1034/1H570US1

DARBY & DARBY P.C.

805 Third Avenue
New York, New York 10022
212-527-7700

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Peter H. ST. GEORGE-HYSLOP; Paul E. FRASER

Title: PROTEINS RELATED TO SCHIZOPHRENIA AND USES THEREOF

including the items indicated:

1. Specification and 16 claims: 6 indep.; 10 dep.; _ multiple dep.; including 59 page(s) of written description; 3 page(s) of claims; 1 page(s) of abstract.
2. ☒ Drawings, 2 sheets (Figs. 1A and 1B)
3. ☐ Executed Declaration/Power of Attorney
☒ Unexecuted Declaration/Power of Attorney
4. ☐ Application Data Sheet under 37 C.F.R. §1.76.

5. ☐ Assignment for recording to:

☐ Assignment was recorded at Reel No. , Frame No. , on .

[x] Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee: The Governing Council of the University of Toronto

6. ☐ The applicant claims small entity status.

7. ☐ Priority is claimed under 35 U.S.C. §119(b) of:

Country:

Number:

Date:

A certified copy of the prior document ☐ is enclosed ☐ will follow ☐ was filed in a previous application.

8. [X] Priority is claimed under 35 U.S.C. §119(e) of:

Number: 60/229,889

Date: September 1, 2000

9. ☐ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.

10. ☐ Payment in amount of \$950.00, (\$950 filing; \$0 recording)
in the form of
☐ check
☐ deposit account no. 04-0100
☐ credit card (see attached form)
(See attached **Fee Computation Sheet**)

11. ☐ Preliminary Amendment

12. ☐ Information Disclosure Statement

13. ☒ Nucleotide or Amino Acid Sequence Listing.

☒ Paper Copy

☒ CRF

Date: August 31, 2001

Respectfully submitted,



Paul F. Fehlner, Ph.D.

Reg. No. 35,135

Attorney for Applicant(s)

1034/1H570US1

Serial No. TBA

Docket No. 1034/1H570US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$710.00
Total Claims	16 - 20	- 0 = 0	x \$18.00	\$0.00
Independent Claims	6 - 3	- 0 = 3	x \$80.00	\$240.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$0.00
SUBTOTAL				\$950.00
<input type="checkbox"/> Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$0.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$950.00

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PATENT TRADEMARK OFFICE

Docket No: 1034/1H570US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Peter ST. GEORGE-HYSLOP; Paul E. FRASER

Serial No.: TBA

Art Unit: TBA

Confirmation No.:

Filed: Concurrently herewith

Examiner: TBA

For: PROTEINS RELATED TO SCHIZOPHRENIA AND USES THEREOF

STATEMENT UNDER 1.821(f)

Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

August 31, 2001

Sir:

Enclosed herewith is a computer readable form (diskette) and a paper copy containing a sequence listing for the above-referenced matter.

The contents of the attached paper entitled "SEQUENCE LISTING" and of the accompanying identically labeled diskette, specifically the ASCII-encoded file

090450 825460

therein labeled "Seqlist.txt", are identical.

Consideration of the enclosed diskette and paper are respectfully requested.

Respectfully submitted,

A handwritten signature in dark ink, reading "Paul F. Fehlner". The signature is written in a cursive style with a horizontal line underneath.

Paul F. Fehlner, Ph.D.

Reg. No. 35,135

Attorney for Applicants

DARBY & DARBY, P.C.
805 Third Avenue
New York, N.Y. 10022
Phone (212) 527-7700

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Serial No. TBA
Response to Office Action dated

Docket No. 1034/1H570
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